

DOCKET NO. 3524/30  
Express Mail EL 595 665 639 US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : BEN-LEVY et al.  
Serial No. : Not yet assigned Examiner: Not yet assign.  
Filed : 30 August 2001 Group Art Unit: Not yet assign.  
Title : COMPUTER TRADING OF FINANCIAL INTERESTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

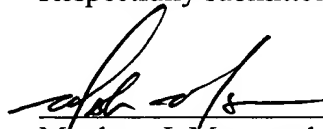
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Sir:

Applicants respectfully submit with this Information Disclosure Statement an Information Disclosure Citation List, and a copy of each document listed therein, pursuant to 37 C.F.R. §§ 1.97 and 1.98. The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation form to the Applicants' undersigned Attorney.

Respectfully submitted,

Dated: 30 August 2001

  
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
<b>Application Number</b>	Not yet assigned				
<b>Filing Date</b>	August 30, 2001				
<b>First Named Inventor</b>	Shai Ben-Levy et al.				
<b>Group Art Unit</b>	Not yet assigned				
<b>Examiner Name</b>	Not yet assigned				
<b>Attorney Docket Number</b>	3524/30				
<b>Sheet</b>	1	<b>of</b>	3		

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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		6,223,167		Alaia et al.	04/24/2001	
		6,161,099		Harrington et al.	12/12/2000	
		6,151,589		Aggarwal et al.	11/21/2000	
		6,131,087		Luke et al.	10/10/2000	
		6,055,518		Franklin et al.	04/25/2000	
		6,035,287		Stallaert et al.	03/07/2000	
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		6,021,398		Ausubel	02/01/2000	
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		5,835,896		Fisher et al.	11/10/1998	
		5,809,483		Broka et al.	09/15/1998	
		5,758,328		Giovannoli	05/26/1998	
		5,724,524		Hunt et al.	03/03/1998	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
			WO 00/55775		Van Roon	09/21/2000		
			GB 2258061A		Jia-Ming Shyu	01/27/1993		

<b>Examiner Signature</b>		<b>Date Considered</b>	
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			Application Number	Not yet assigned
			Filing Date	August 30, 2001
			First Named Inventor	Shai Ben-Levy et al.
			Group Art Unit	Not yet assigned
			Examiner Name	Not yet assigned
			Sheet 2 of 3	Attorney Docket Number 3524/30

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Sheet	3	of	3		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
		"eCommerce in the U.S. Fixed Income Markets", "The 1999 Review of Electronic Transaction Systems", The Bond Market Association, November 1999. (36 PP)		
		"Getting the Word Out to the Right Muni Dealers", Bloomberg, October 1996, page 85, 87		
		"Government Bond Trading Made Easy", Bloomberg, June 1999, pages 86-88		
		"Bloomberg Financial Markets Commodities News", Bloomberg L.P., 8/1/98 (11 pp)		
		"And now-electronic bidding" Grant's Municipal Bond Issuer, Vol. 2, No. 14, July 16, 1998 (4 pp)		
		"Innovative Trading in the Nasdaq Marketplace" User Manual, Bloomberg Tradebook Europe Ltd., June 1999		
		"Real Bids. Institutional Investors Begin Using Electronic Bond Trading", The Bank of New York Company, Inc. April 8, 1998 (1 pg)		
		"Real Bids. The Bank of New York to Acquire Automated Bond Trading System", The Bank of New York Company, Inc. April 19, 1997		
		"The Automation of Capital Markets" Arnold Picot, Christine Bortenlaenger and Heiner Roehrl, Institute of Organization, Munich, Germany, Circa 1996 (20 pp)		
		"TradeWeb Launches First Multi-Dealer Electronic Trading System for Fixed-Income Securities" EDI Update International The Global Review of Electronic Trade, October 1997, Volume IX, No. 11 (1 pg)		
		"What Corporate Traders Could Learn from Munis", Bloomberg, September 1993 (pages 16-17)		

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